

MODEL REGULATION
on the Department for Managing the Anti-Corruption “Compliance Control” System
at Tashkent State University of Oriental Studies

I. General Provisions

1. This Regulation defines the status, main tasks, functions, rights, and responsibilities of the Department for Managing the Anti-Corruption “Compliance Control” System (hereinafter – the Department), as well as the organization of its activities and the functional duties and responsibilities of its staff.

2. The Department is considered a structural unit of the higher education institution.

3. The Department’s activities are directed at managing the “Compliance Control” system within the higher education institution, as well as conducting anti-corruption activities. Within its authority, the Department reports directly to the Rector of the higher education institution and to the Ministry of Higher and Secondary Specialized Education (hereinafter – the Ministry) through the Ministry’s Department for Compliance Control and Anti-Corruption.

4. In its activities, the Department shall comply with the Constitution and laws of the Republic of Uzbekistan, resolutions of the Oliy Majlis of the Republic of Uzbekistan, decrees, resolutions, and orders of the President of the Republic of Uzbekistan, resolutions and orders of the Cabinet of Ministers of the Republic of Uzbekistan, Ministry orders and Board decisions, this Regulation, internal orders of the higher education institution, as well as other legal acts.

5. The Department carries out its activities in cooperation with other units of the higher education institution.

II. Tasks and Functions of the Department

6. *The following are considered the main tasks of the Department:*

- Preventing corruption-related offenses and combating them within the higher education institution;
- Ensuring the implementation of measures aimed at carrying out the “Corruption-Free Sector” project at the institutional level;
- Introducing and implementing the anti-corruption “Compliance Control” system within the higher education institution;
- Identifying areas with high corruption risks and analyzing the causes and conditions that may lead to corruption offenses;
- Reporting on systemic problems that may contribute to corruption and submitting relevant proposals for their elimination;
- Ensuring the implementation of programs aimed at eliminating the causes and conditions of corruption offenses and increasing the effectiveness of anti-corruption measures;
- Coordinating public oversight activities related to anti-corruption within the institution, as well as conducting monitoring based on modern methods and information and communication technologies;

- Studying generally recognized international standards and positive foreign experiences in anti-corruption and public oversight, and developing proposals for applying best practices;
- Organizing sociological, scientific, and other research on the prevalence, trends, and causes of corruption, as well as on the effectiveness of anti-corruption measures, and preparing corresponding proposals;
- Coordinating anti-corruption activities of the academic lyceum affiliated with the higher education institution.

Functions of the Department to fulfil its tasks:

- Monitoring compliance with laws, sub-legislative acts, and Ministry Board decisions and orders related to anti-corruption within the institution;
- Developing measures and action plans aimed at preventing corruption offenses, ensuring their full, timely, and high-quality implementation, monitoring progress, and preparing reports on results;
- Implementing the “Corruption-Free Sector” project within the institution;
- Developing internal documents and drafts for implementing anti-corruption measures and submitting them for approval to the Rector (Director);
- Preparing proposals to eliminate identified corrupt practices in internal institutional documents;
- Assessing corruption risks within the institution and developing plans to mitigate them;
- Reviewing incoming complaints and anonymous reports regarding corrupt risks and violations in the behavior of academic, administrative, technical, service, and support staff, and taking measures according to established procedures;
- Maintaining a registry of internal audits related to corruption cases;
- Participating in conflict-of-interest analyses during public procurement and verifying the reliability of contractors;
- Monitoring conflicts of interest among institution staff and making relevant proposals;
- Organizing regular preventive discussions with academic, administrative, technical, service, and support staff;
- Conducting surveys among faculty, students (including graduate and doctoral students, independent researchers), and the public to identify corruption cases;
- Regularly evaluating the results and impact of anti-corruption promotional measures based on surveys, social and other studies, and using the evaluation for planning subsequent measures;
- Organizing conferences, meetings, seminars, and competitions on anti-corruption for faculty and students;
- Assessing the effectiveness of anti-corruption measures implemented within the institution;
- Studying the conditions and causes of corruption offenses and developing proposals to eliminate them;
- Ensuring that information on the “Anti-Corruption” Department is regularly updated on the institution’s official website;

- Submitting quarterly and ad-hoc reports on anti-corruption activities and the institutional corruption situation to the Rector (Director) and to the Ministry's Compliance Control and Anti-Corruption Department;
- Establishing effective cooperation in the field of anti-corruption with the Ministry's Compliance Control and Anti-Corruption Department, other state bodies, organizations, and parents;
- Supervising anti-corruption activities of the academic lyceum affiliated with the institution;
- Monitoring anti-corruption activities within the institution and providing analytical materials to the administration and the Ministry.

III. Rights and Responsibilities of the Department

7. To carry out its assigned tasks and functions, the Department has the following rights:

- Directly or via video streaming, monitor the hiring processes at the higher education institution and its affiliated academic lyceum, conduct staff attestation, and oversee examinations of students (including master's and doctoral students, independent researchers) such as final, midterm, qualification, state final attestations, and thesis defenses, identifying any corruption risks during these processes;
 - Request, demand, and obtain analytical materials, conclusions, primary and other supporting documents, statistical and other information from the institution's and its academic lyceum's faculty, administrative, technical, service, and support staff within the scope of their authority;
 - Seek and obtain necessary assistance from the staff of the higher education institution within the framework of official duties, and, if needed, establish working groups;
 - Participate in meetings of the institution's Council;
 - Submit proposals to the Rector (Director) of the higher education institution on matters related to compliance control and anti-corruption;
 - Conduct inspections within its authority, request documents, and obtain written explanations from faculty, administrative, technical, service, and support staff, as well as students (master's, doctoral, and independent researchers); report to relevant law enforcement authorities if signs of criminal activity are detected;
 - Issue instructions and recommendations to the structural units of the higher education institution within the scope of its activities;

The Department may also have other rights in accordance with legal regulations.

Department fulfils the following:

- Ensuring the proper and effective execution of the tasks assigned to it;
- Ensuring the performance of its assigned functions and compliance with requirements for maintaining execution discipline;
- Ensuring the timely preparation and submission of materials and documents for reporting to the management of the higher education institution;

Monitoring the status of compliance control and anti-corruption activities carried out within the higher education institution;

Ensuring the timely review of incoming appeals from individuals and legal entities and their resolution in accordance with legislative requirements.

IV. Structure of the Department

8. The Department's structure includes the Head of the Department and the Chief Specialist.

The Department is headed by the Head of the Department. To ensure the independence of the Department's activities, the Head of the Department reports directly to the Rector (Director) of the higher education institution, while the Chief Specialist reports to the Head of the Department.

The Head of the Department is appointed and dismissed in accordance with the established procedure by the order of the Rector of the higher education institution, in coordination with the relevant ministries and agencies under the jurisdiction of the higher education institution.

The Chief Specialist of the Department is appointed and dismissed in accordance with the established procedure by the order of the Rector of the higher education institution.

V. Main Functional Duties of the Head of the Department and the Chief Specialist

Main Functional Duties of the Head of the Department:

- Provides overall leadership of the Department, organizes its work, and is fully responsible for the execution of assigned tasks and functions, as well as for maintaining execution discipline;
- Monitors compliance with laws and sub-legislative acts related to anti-corruption within the higher education institution;
- Develops measures and work plans aimed at preventing corruption offenses and ensures their complete, high-quality, and timely implementation;
- Organizes activities for implementing the "Corruption-Free Sector" project within the higher education institution;
- Prepares analytical materials on anti-corruption based on the study of advanced foreign experience;
- Establishes effective cooperation in the field of anti-corruption with civil society institutions, law enforcement and regulatory authorities, and parents;
- Organizes the training and professional development of personnel responsible for compliance control and anti-corruption;
- Monitors anti-corruption activities within the higher education institution and submits analytical materials to the administration;
- Develops and reviews documents related to the Department's activities and prepares relevant proposals;
- Participates as a member of the institution's Council and attends its

meetings;

- Develops and ensures the implementation of measures aimed at further improving the Department's work;
- Reviews appeals from individuals and legal entities related to the Department's activities and ensures full compliance with execution discipline;
- Performs other functional duties within the scope of authority in accordance with legal regulations.

Main Functional Duties of the Chief Specialist:

- Organizes meetings with pedagogical staff, students, and individuals and legal entities to identify systemic problems, determine areas with high corruption risks, and analyze the causes and conditions of corruption offenses within the institution;
- Participates in investigations, inspections, analyses, and the development of proposals related to anti-corruption, involving specialists as needed;
- Organizes the creation of educational, methodological, and instructional materials for anti-corruption activities, including audio-video content, photographs, booklets, and brochures;
- Organizes sociological, scientific, and other surveys to study the state, trends, and causes of corruption, as well as the effectiveness of anti-corruption measures;
- Coordinates Department activities in the absence of the Head of the Department;
- Performs other functional duties within the scope of authority in accordance with legal regulations.

VI. Organization of the Department's Activities

12. The Department carries out its activities based on laws and regulations relevant to the field, orders of the respective ministries and agencies, Board decisions, decisions and orders of the higher education institution's Council, this Regulation, and the Department's work plan.

13. Decisions of the higher education institution's Council, orders of the Rector, and tender documents are coordinated with the Department on a mandatory basis.

14. The Department's work plan is considered an integral part of the higher education institution's overall work plan and is approved by the Rector (Director) of the higher education institution.

VII. Final Provision

15. The reorganization or termination of the Department's activities shall be carried out in accordance with the procedure established by legal regulations.

**MODEL REGULATION
of the Student-Youth Club on
Anti-Corruption at the Higher Education Institution**

I. General Provisions

1. The Student-Youth Club on Anti-Corruption at the higher education institution (hereinafter – the Club) is established based on the Constitution of the Republic of Uzbekistan, the Law “On Education,” the Decree No. PF-5719 of the President of the Republic of Uzbekistan dated May 27, 2019, “On Measures to Further Improve the Anti-Corruption System in the Republic of Uzbekistan,” the Decree No. PF-5618 of the President of the Republic of Uzbekistan dated January 9, 2019, “On the Comprehensive Improvement of the Legal Awareness and Legal Culture in Society,” Order No. 120 of the Ministry of Higher and Secondary Specialized Education of the Republic of Uzbekistan dated March 5, 2021, and the decision of the higher education institution’s Council in 2021. The Club carries out its activities in accordance with this Regulation.

2. The main purpose of the Club is to foster an uncompromising attitude toward corruption among faculty, staff, and students of the higher education institution, develop a socio-psychological immunity to corruption, and establish public oversight in the process of combating corruption.

3. The main tasks of the Club are:

- To form zero-tolerance attitudes toward all forms of corruption among faculty, staff, and students through outreach and awareness campaigns;
- To ensure transparency in the activities of faculty and staff and establish public oversight over these processes;
- To ensure active participation of students and youth in anti-corruption activities carried out within the higher education institution;
- To actively participate in developing specific proposals for preventing corruption in all areas of public and political life, with priority given to the education sector;
- To organize discussions, flash mobs, and other events involving students and youth that cover the essence of corruption, its causes, and ways to combat it.

4. The activities of the Club are organized based on the principles of voluntariness, independence, legality, objectivity, collegiality, and transparency.

II. Structure and Organizational Matters of the Club

5. The Club is established on a public basis within the higher education institution in accordance with the laws of the Republic of Uzbekistan.

6. Overall leadership of the Club is carried out by the Department for Management of Compliance Control and Anti-Corruption (hereinafter – the Department), and the Club is accountable to the Department.

7. The Club has its own emblem (logo).

8. The list of participants in the Club is formed by the Department based on oral surveys and recommendations from faculty deans.

9. The Department conducts introductory interviews with students listed for participation. During the interview, the students' knowledge and worldview are assessed.

10. Based on the results of the interview, admission of students to the Club is carried out by the Department according to the final list prepared and signed by the Department.

11. The activities of Club members are carried out according to a division into several sectors.

12. To properly organize and coordinate the activities of the Club members, the Department forms a list of sector leaders, which includes a representative of the higher education institution as the sector head and leaders selected from among the members.

13. The Club's work plan reflects the planned activities, objectives, dates, participants, responsible persons, and expected results. The plan is approved at the beginning of each academic year by the Department and its implementation is systematically monitored.

14. The Club's work plan includes general meetings of members, essay contests and flash mobs on anti-corruption topics, meetings, scientific seminars, and events involving anti-corruption specialists and invited guests.

15. Club members must be law-abiding, patriotic, dedicated and active in anti-corruption efforts, and exemplary in ethics and morality.

16. The Club's work plan and reports are approved by the Head of the Department.

17. Club members are strictly prohibited from engaging in actions restricted by law and from disclosing service-related or other information during the course of their activities.

18. Club members have the following rights:

- To receive information about the Club's activities;
- To participate in contests and events organized within the Club.

Club members may also have other rights arising from their activities.

21. Club members have the following responsibilities:

- To participate in Club activities without negatively affecting the educational process of the higher education institution;
- To comply with legal instructions from the Head of the Department, the sector head, and the sector leader regarding Club activities.

22. A student may be removed from Club membership on the following grounds:

- At their own request;
- Upon graduation from the higher education institution;
- If expelled or on academic leave;
- For disclosing service-related or other restricted information;
- Due to health reasons;
- If subject to criminal liability;
- In other cases provided by law.

23. The sector head notifies the Department of a student's removal from the Club. Based on this notification, the student is removed from the Club's membership

list.

24. If the circumstances that caused the student's removal are resolved (e.g., health restored, reinstated to the student body, or application for re-admission submitted), the student may be readmitted to the Club.

25. A student removed from the Club for violating this Regulation may be re-admitted after at least six months.

III. Final Provision

26. Participation in Club activities does not exempt members from their obligations within the educational process at the higher education institution.

27. Records of Club members are maintained by the higher education institution (in a reference file/registry).

28. Students' participation in Club activities may be incentivized by the higher education institution.

29. The termination or reorganization of the Club's activities is carried out based on the decision of the higher education institution's Council.